# Density of $C_{-4}$-critical signed graphs 

## Zhouningxin Wang

IRIF, Université Paris Cité and Nankai University<br>(Joint work with Reza Naserasr and Lan-Anh Pham)

SCMS combinatorics seminar
12th Aug. 2022
(1) Introduction

- H-coloring of graphs
- Homomorphism of signed graphs
- (H, $)$-critical signed graphs
- Jaeger-Zhang conjecture and its bipartite analog
(2) Density of $C_{-4}$-critical signed graphs
- $C_{-4}$-critical signed graphs
- Application to the planarity
(3) Conclusion


## H-coloring

- A homomorphism of a graph $G$ to a graph $H$ is a mapping from $V(G)$ to $V(H)$ such that the adjacency is preserved.
- If $G$ admits a homomorphism to $H$, then we say $G$ admits an H -coloring or G is H -colorable.


4-color theorem restated
Every planar graph admits a $K_{4}$-coloring.

## $(2 k+1)$-coloring problem vs $C_{2 k+1}$-coloring problem

$T_{k}(G)$ : the graph obtained from $G$ by replacing each edge $u v$ with a path of length $k$.

Indicator construction Lemma [P. Hell and J. Nešetřil 1990]
A graph $G$ is $(2 k+1)$-colorable if and only if $T_{2 k-1}(G)$ is
$C_{2 k+1}$-colorable.

- The $C_{2 k+1}$-coloring problem is NP-complete. [H.A. Maurer, J.H. Sudborough, E. Welzl 1981]

Can we make use of even cycles to capture $2 k$-coloring problem?

## Signed graphs

- A signed graph is a graph $G=(V, E)$ together with an assignment $\sigma: E(G) \rightarrow\{+,-\}$, denoted by $(G, \sigma)$.
- A switching at a vertex $v$ is to switch the signs of all the edges incident to this vertex.
- We say $\left(G, \sigma^{\prime}\right)$ is switching equivalent to $(G, \sigma)$ if it is obtained from ( $G, \sigma$ ) by switching at some vertices (allowing repetition).
- The sign of a closed walk is the product of signs of all the edges of this walk.


## Theorem [T. Zaslavsky 1982]

Signed graphs ( $G, \sigma$ ) and ( $G, \sigma^{\prime}$ ) are switching equivalent if and only if they have the same set of negative cycles.

## Homomorphism of signed graphs

- A homomorphism of a signed graph $(G, \sigma)$ to $(H, \pi)$ is a mapping $\varphi$ from $V(G)$ and $E(G)$ to $V(H)$ and $E(H)$, respectively, such that the adjacency, the incidence and the signs of closed walks are preserved. If there is one, we write $(G, \sigma) \rightarrow(H, \pi)$.
- A homomorphism is edge-sign preserving if it, furthermore, preserves the signs of the edges. If there is one, we write $(G, \sigma) \xrightarrow{\text { s.p. }}(H, \pi)$.


Proposition [R. Naserasr, É. Sopena, and T. Zaslavsky 2021]

$$
(G, \sigma) \rightarrow(H, \pi) \Leftrightarrow \exists \sigma^{\prime} \equiv \sigma,\left(G, \sigma^{\prime}\right) \xrightarrow{\text { s.p. }}(H, \pi) .
$$

## No-homomorphism Lemma

There are four possible types of closed walks in signed graphs:

- type 00 is a closed walk which is positive and of even length,
- type 01 is a closed walk which is positive and of odd length,
- type 10 is a closed walk which is negative and of even length,
- type 11 is a closed walk which is negative and of odd length.

The length of a shortest nontrivial closed walk in $(G, \sigma)$ of type $i j$, (ij $\in \mathbb{Z}_{2}^{2}$ ), is denoted by $g_{i j}(G, \sigma)$.

[^0]
## $k$-coloring problem vs $C_{-k}$-coloring problem

$T_{k}(G, \sigma)$ : a signed graph obtained from $(G, \sigma)$ by replacing each edge $u v$ with a signed path of length $k$ with sign $-\sigma(u v)$.

## Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A graph $G$ is $k$-colorable if and only if $T_{k-2}(G,+)$ is $C_{-k}$-colorable.
In particular, the $2 k$-coloring problem of graphs is captured by the $C_{-2 k}$-coloring problem of signed bipartite graphs.

Special case when $k=4$
A graph $G$ is 4-colorable if and only if $T_{2}(G,+)$ is $C_{-4}$-colorable.

## Proof of $G \rightarrow K_{4} \Leftrightarrow T_{2}(G,+) \rightarrow C_{-4}$



Figure: $G \rightarrow K_{4} \Rightarrow T_{2}(G,+) \rightarrow C_{-4}$

- $\Rightarrow$ : It suffices to show that $T_{2}\left(K_{4},+\right) \rightarrow C_{-4}$.
- $\Leftarrow$ : Let $\varphi: T_{2}(G,+) \rightarrow C_{-4}$. This mapping preserves the bipartition.


## Edge-sign preserving homomorphism to $\mathrm{C}_{-4}$

Lemma [C. Charpentier, R. Naserasr, and E. Sopena 2020]
Given a signed bipartite graph ( $G, \sigma$ ),

$$
(G, \sigma) \xrightarrow{\text { s.P. }} C_{-4} \Leftrightarrow\left(P_{3}, \pi\right) \nsubseteq(G, \sigma) .
$$



Figure: $C_{-4}$ and its edge-sign preserving dual

## NP-completeness of $\mathrm{C}_{-4}$-coloring problem

- In order to map a signed bipartite graph $(G, \sigma)$ to $C_{-4}$, it is necessary and sufficient to find an equivalent signature $\sigma^{\prime}$ of $\sigma$ where no positive edge is incident with a negative edge at each of its end.
- Deciding whether there exists an edge-sign preserving homomorphism to $C_{-4}$ is in polynomial time but finding such an equivalent signature is hard.
- The $C_{-4}$-coloring problem is NP-complete. [R. C. Brewster, F. Foucaud, P. Hell and R. Naserasr 2017]


## $k$-critical and H -critical

- A graph is $k$-critical if it is $k$-chromatic but any proper graph of it is $(k-1)$-colorable.
- A graph is H -critical if it is not H -colorable but any proper graph of it is H -colorable. [P. A. Catlin 1988]
One of the most popular questions of $H$-critical graphs on $n$ vertices is to find the lower bound for the number of edges as a function of $n$.
- Any $C_{3}$-critical graph on $n$ vertices has at least $\frac{5 n-2}{3}$ edges; [A. Kostochka and M. Yancey 2014]
- Any $C_{5}$-critical graph on $n$ vertices has at least $\frac{5 n-2}{4}$ edges; [Z. Dvorak and L. Postle 2017]
- Any $C_{7}$-critical graph on $n$ vertices has at least $\frac{17 n-2}{15}$ edges. [L. Postle and E. Smith-Roberge 2022]


## $(H, \pi)$-critical signed graph

## Definition [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A signed graph $(G, \sigma)$ is $(H, \pi)$-critical if the following hold:

- $g_{i j}(G, \sigma) \geq g_{i j}(H, \pi)$, for $i j \in \mathbb{Z}_{2}^{2}$;
- $(G, \sigma) \nrightarrow(H, \pi)$;
- $\left(G^{\prime}, \sigma\right) \rightarrow(H, \pi)$ for any proper subgraph $\left(G^{\prime}, \sigma\right) \subset(G, \sigma)$.

We observe that:

- A graph $G$ is $k$-critical $\Leftrightarrow(G,+)$ is $\left(K_{k-1},+\right)$-critical.
- By No-homomorphism Lemma, the first condition eliminates trivial cases.


## $\mathrm{C}_{-4}$-critical signed graph

A signed graph $(G, \sigma)$ is $C_{-4}$-critical if the following hold:

- $(G, \sigma)$ is bipartite and of negative-girth at least 4;
- $(G, \sigma) \nrightarrow C_{-4}$;
- $\left(G^{\prime}, \sigma\right) \rightarrow C_{-4}$ for any proper subgraph $\left(G^{\prime}, \sigma\right) \subset(G, \sigma)$.


Figure: $\hat{W}$


Figure: 「

## Jaeger-Zhang Conjecture

## Jaeger-Zhang Conjecture [C.-Q. Zhang 2002]

Every planar graph of odd-girth at least $4 k+1$ admits a homomorphism to $C_{2 k+1}$.

- $k=1$ : Grötzsch's theorem;
- $k=2$ : verified for odd-girth 11 [Z. Dvořák and L. Postle 2017; D. Cranston and J. Li 2020];
- $k=3$ : verified for odd-girth 17 [D. Cranston and J. Li 2020; L. Postle and E. Smith-Roberge 2022];
- $k \geq 4$ :
- verified for odd-girth $8 k-3$ [X. Zhu 2001];
- verified for odd-girth $\frac{20 k-2}{3}$ [O.V. Borodin, S.-J. Kim, A.V. Kostochka and D.B. West 2002];
- verified for odd-girth $6 k+1$ [L. M. Lovász, C. Thomassen, Y. Wu and C. Q. Zhang 2013].


## Signed bipartite analog of Jaeger-Zhang Conjecture

Signed bipartite analog of Jaeger-Zhang Conjecture [R. Naserasr, E. Rollová, É. Sopena 2015]

Every signed bipartite planar graph of negative-girth at least $f(k)$ admits a homomorphism to $C_{-2 k}$.

- $k=2$ : 8 is the best negative-girth condition [R. Naserasr, L-A. Pham, and Z. Wang 2022];
- $k=3,4$ : verified for negative-girth 14 and 20 [J. Li, Y. Shi, Z. Wang and C. Wei 2022+];
- $k \geq 5$ :
- verified for negative-girth $8 k-2$ [C. Charpentier, R. Naserasr, and E. Sopena 2020];
- verified for negative-girth $6 k-2$ [J. Li, R. Naserasr, Z. Wang and X. Zhu 2022+].


## (1) Introduction

- H-coloring of graphs
- Homomorphism of signed graphs
- $(H, \pi)$-critical signed graphs
- Jaeger-Zhang conjecture and its bipartite analog
(2) Density of $C_{-4}$-critical signed graphs
- $C_{-4}$-critical signed graphs
- Application to the planarity
(3) Conclusion


## Density of $C_{-4}$-critical signed graphs

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]
If $\hat{G}$ is a $C_{-4}$-critical signed graph which is not isomorphic to $\hat{W}$, then

$$
e(\hat{G}) \geq \frac{4 v(\hat{G})}{3}
$$



Figure: $\hat{W}$


Figure: 「

## Potential method

The potential of a signed graph $\hat{G}$ is defined to be

$$
p(\hat{G})=4 v(\hat{G})-3 e(\hat{G})
$$

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]
If $\hat{G}$ is $C_{-4}$-critical and $\hat{G} \neq \hat{W}$, then $p(\hat{G}) \leq 0$.
We will estimate the potentials of some subgraphs of the minimum counterexample and list some forbidden configurations in it.

## Potential method

Let $\hat{G}=(G, \sigma)$ be the minimum counterexample with respect to $v(\hat{G})+e(\hat{G})$.

- $\hat{G}$ is a $C_{-4}$-critical signed graph which is not isomorphic to $\hat{W}$ and it satisfies $p(\hat{G}) \geq 1$;
- For any $C_{-4}$-critical signed graph $\hat{H}$ with $\hat{H} \neq \hat{W}$ satisfying that $v(\hat{H})<v(\hat{G}), p(\hat{H}) \leq 0$.

Observations:

- $\hat{G}$ is 2 -connected.
- There must exist a 2 -vertex in $\hat{G}$.
- There is no 3 -thread in $\hat{G}$.


## Key Lemma

$P_{2}(\hat{H})$ : a graph obtained from $\hat{H}$ by adding a vertex $v$ and joining it with two vertices in $\hat{H}$ (with any signature).

Lemma (Potential of subgraphs)
Let $\hat{G}=(G, \sigma)$ be a minimum counterexample and let $\hat{H}$ be a subgraph of $\hat{G}$. Then
(1) $p(\hat{H}) \geq 1$ if $\hat{G}=\hat{H}$;
(2) $p(\hat{H}) \geq 3$ if $\hat{G}=P_{2}(\hat{H})$;
(3) $p(\hat{H}) \geq 4$ otherwise.

## Sketch of the proof

- Suppose to the contrary that $\hat{G}$ contains a proper subgraph $\hat{H}$ which does not satisfy $\hat{G}=P_{2}(\hat{H})$, and satisfies $p(\hat{H}) \leq 3$. We take the maximum such $\hat{H}$.
- Note that $\hat{H}$ is a proper induced subgraph of order at least 5 . Let $\varphi$ be a mapping of $\hat{H}$ to $C_{-4}$.



## Sketch of the proof

- Define $\hat{G}_{1}$ to be a signed (multi)graph obtained from $\hat{G}$ by first identifying vertices of $\hat{H}$ which are mapped to a same vertex of $C_{-4}$ under $\varphi$ and identifying the parallel edges of the same sign. We conclude that $\hat{G}_{1} \nrightarrow C_{-4}$.
- Two possibilities: Either $\hat{G}_{1}$ contains a $C_{-2}$, or $\hat{G}_{1}$ contains a $\mathrm{C}_{-4}$-critical subgraph $\hat{G}_{2}$.



## Sketch of the proof

- Case 1: $\hat{G}_{1}$ contains a $C_{-2}$. Then by computing $p\left(\hat{H}+P_{-2}\right)$ and using the maximality of $\hat{H}$, we obtain the contradiction.
- Case 2: $\hat{G}_{1}$ contains a $C_{-4}$-critical subgraph $\hat{G}_{2}$. First of all, by the minimality of $\hat{G}$, we have $p\left(\hat{G}_{2}\right) \leq 0$. Then we define a signed graph $\hat{G}_{3}$ (subgraph of $\hat{G}$ ) by combing $\hat{G}_{2}$ and $\hat{H}$ with some modifications. By the relation of $\hat{H} \subsetneq \hat{G}_{3} \subset \hat{G}$, it leads to a contradiction.



## Forbidden configurations

## Lemma

Two 4-cycles in the minimum counterexample $\hat{G}$ cannot share one edge or two edges.

## Lemma

Let $v v_{1} u$ be a 2 -thread in the minimum counterexample $\hat{G}$. Suppose that $v$ is a 3 -vertex and let $v_{2}, v_{3}$ be the other two neighbors of $v$. Then the path $v_{2} v v_{3}$ must be contained in a negative 4 -cycle in $\hat{G}$.


## Forbidden configurations and discharging technique

## Lemma

A vertex of degree 3 in the minimum counterexample $\hat{G}$ does not have two neighbors of degree 2 .


## Forbidden

## Constructions of $C_{-4}$-critical signed graphs of density $\frac{4}{3}$

$\tilde{G}$ : a signed graph obtained by replacing each edge of $G$ by $C_{-2}$.
Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]
A graph $G$ is $(k+1)$-critical if and only if $T_{2 k-2}(\tilde{G})$ is $C_{-2 k}$-critical.
As odd cycles are the only 3 -critical graphs, $T_{2}\left(\tilde{C}_{2 k+1}\right)$, for each $k \geq 1$, is a $C_{-4}$-critical signed graph whose density is $\frac{4}{3}=\frac{8 k+4}{6 k+3}$.


Figure: $T_{2}\left(\tilde{C}_{3}\right)$


Figure: $T_{2}\left(\tilde{C}_{5}\right)$

## Constructions of sparse $C_{-4}$-critical signed graphs

Let $\hat{G}_{1}$ and $\hat{G}_{2}$ be two $C_{-4}$-critical signed graphs.

- Assuming that there is a 2 -vertex $u$ in $\hat{G}_{1}$ with $u_{1}, u_{2}$ being its neighbors and a 2-vertex $v$ in $\hat{G}_{2}$ with $v_{1}, v_{2}$ being its neighbors, we build a signed graph $\mathcal{F}\left(\hat{G}_{1}, \hat{G}_{2}\right)$ from disjoint union of $\hat{G}_{1}$ and $\hat{G}_{2}$ by deleting $u$ and $v$, and adding a positive edge $u_{1} v_{1}$ and a negative edge $u_{2} v_{2}$.


Figure: $\hat{W}$


Figure: $\hat{W}$


Figure: $\mathcal{F}(\hat{W}, \hat{W})$

## Constructions of sparse $C_{-4}$-critical signed graphs

Analog of Hajós construction

- Assuming that there is a positive edge $x_{1} y_{1}$ in $\hat{G}_{1}$ and a negative edge $x_{2} y_{2}$ in $\hat{G}_{2}$, we build a signed graph $\mathcal{H}\left(\hat{G}_{1}, \hat{G}_{2}\right)$ from disjoint union of $\hat{G}_{1}$ and $\hat{G}_{2}$ by deleting $x_{1} y_{1}, x_{2} y_{2}$ and identifying $x_{1}$ with $x_{2}$ and $y_{1}$ with $y_{2}$.


Figure: 「


Figure: 「


Figure: $\mathcal{H}(\Gamma, \Gamma)$

## Mapping signed bipartite planar graphs to $C_{-4}$

A signed graph $(G, \sigma)$ is $2 k$-colorable if there exists a mapping $c$ : $V(G) \rightarrow\{ \pm 1, \ldots, \pm k\}$ such that for each edge $u v$ of $(G, \sigma)$, $c(u) \neq \sigma(u v) c(v)$.

It has been conjectured in [E. Máčajová, A. Raspaud, M. Škoviera 2016] that every signed planar simple graph is 4-colorable.

Theorem [F. Kardoš and J. Narboni 2021]
There exists a signed planar simple graph which is not 4-colorable.

## Mapping signed bipartite planar graphs to $C_{-4}$

## Theorem [F. Kardoš and J. Narboni 2021]

There exists a signed planar simple graph which is not 4-colorable.

Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]
A signed graph $(G, \sigma)$ is $2 k$-colorable if and only if $T_{2 k-2}(G, \sigma)$ is $C_{-2 k}$-colorable.

When $k=2$, there exists a signed graph $T_{2}(G, \sigma)$ which does not admit a homomorphism to $C_{-4}$.

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]
There exists a bipartite planar graph $G$ of girth 6 with a signature $\sigma$ such that $(G, \sigma) \nrightarrow C_{-4}$.

## Mapping signed bipartite planar graphs to $C_{-4}$

- By Folding Lemma, starting from a signed bipartite planar graph whose shortest negative cycles are of length at least 8, we get a homomorphic image $\hat{G}$ with a planar embedding where all faces are negative 8-cycles.
- Applying Euler's Formula on this graph, we have $|E(G)| \leq \frac{3(|V(G)|-2)}{4}$.


## Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]

Every signed bipartite planar graph of negative-girth at least 8 admits a homomorphism to $C_{-4}$. Moreover, the girth condition is the best possible.

## (1) Introduction

- H-coloring of graphs
- Homomorphism of signed graphs
- (H, $\pi$ )-critical signed graphs
- Jaeger-Zhang conjecture and its bipartite analog
(2) Density of $C_{-4}$-critical signed graphs
- $C_{-4}$-critical signed graphs
- Application to the planarity
(3) Conclusion


## Relation with circular coloring of signed graphs

- Recently we have defined the notion of circular chromatic numbers of signed graphs. We prove that for any signed bipartite graph $(G, \sigma)$,

$$
X_{c}(G, \sigma) \leq \frac{8}{3} \Leftrightarrow(G, \sigma) \rightarrow C_{-4} .
$$

- So our work can be restated as: Any $\frac{8}{3}$-critical signed bipartite graph on $n$ vertices has at least $\frac{4 n}{3}$ edges except for $\hat{W}$.


## Discussion

- We look for some strong sufficient conditions for signed bipartite planar graphs mapping to $C_{-4}$.


## Conjecture

Let $G$ be a bipartite planar graph of girth at least 6 . Let $\sigma$ be a signature on $G$ such that in $(G, \sigma)$ all 6 -cycles are of a same sign. Then $(G, \sigma) \rightarrow C_{-4}$.

- If it is true, it implies the 4-color theorem by $T_{2}$-construction on a planar simple graph.


## Discussion

- We determined that the best girth condition for mapping signed bipartite planar graphs to $C_{-4}$ is 8 rather than 6 .


## Question

What is the best negative-girth condition for signed bipartite planar graphs mapping to $C_{-2 k}$ ?

## The end. Thank you!


[^0]:    No-homomorphism Lemma [R. Naserasr, É. Sopena, and T. Zaslavsky 2021]
    If $(G, \sigma) \rightarrow(H, \pi)$, then $g_{i j}(G, \sigma) \geq g_{i j}(H, \pi)$ for $i j \in \mathbb{Z}_{2}^{2}$.

