# Density of $C_{-4}$ -critical signed graphs

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#### Introduction

- *H*-coloring of graphs
- Homomorphism of signed graphs
- $(H, \pi)$ -critical signed graphs
- Jaeger-Zhang conjecture and its bipartite analog

### 2 Density of $C_{-4}$ -critical signed graphs

- C<sub>-4</sub>-critical signed graphs
- Application to the planarity

### 3 Conclusion

H-coloring

#### A homomorphism of a graph G to a graph H is a mapping from V(G) to V(H) such that the adjacency is preserved.

• If G admits a homomorphism to H, then we say G admits an H-coloring or G is H-colorable.



#### 4-color theorem restated

Every planar graph admits a  $K_4$ -coloring.

H-coloring of graphs

# (2k + 1)-coloring problem vs $C_{2k+1}$ -coloring problem

 $T_k(G)$ : the graph obtained from G by replacing each edge uv with a path of length k.

Indicator construction Lemma [P. Hell and J. Nešetřil 1990]

A graph G is (2k + 1)-colorable if and only if  $T_{2k-1}(G)$  is  $C_{2k+1}$ -colorable.

 The C<sub>2k+1</sub>-coloring problem is NP-complete. [H.A. Maurer, J.H. Sudborough, E. Welzl 1981]

Can we make use of even cycles to capture 2k-coloring problem?

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# Signed graphs

- A signed graph is a graph G = (V, E) together with an assignment  $\sigma : E(G) \rightarrow \{+, -\}$ , denoted by  $(G, \sigma)$ .
- A switching at a vertex v is to switch the signs of all the edges incident to this vertex.
- We say  $(G, \sigma')$  is switching equivalent to  $(G, \sigma)$  if it is obtained from  $(G, \sigma)$  by switching at some vertices (allowing repetition).
- The sign of a closed walk is the product of signs of all the edges of this walk.

#### Theorem [T. Zaslavsky 1982]

Signed graphs  $(G, \sigma)$  and  $(G, \sigma')$  are switching equivalent if and only if they have the same set of negative cycles.

Conclusion

# Homomorphism of signed graphs

- A homomorphism of a signed graph (G, σ) to (H, π) is a mapping φ from V(G) and E(G) to V(H) and E(H), respectively, such that the adjacency, the incidence and the signs of closed walks are preserved. If there is one, we write (G, σ) → (H, π).
- A homomorphism is edge-sign preserving if it, furthermore, preserves the signs of the edges. If there is one, we write  $(G, \sigma) \xrightarrow{s.p.} (H, \pi)$ .

$$\int \leftrightarrow \int \rightarrow \checkmark$$

Proposition [R. Naserasr, É. Sopena, and T. Zaslavsky 2021]

$$(G,\sigma) \to (H,\pi) \Leftrightarrow \exists \sigma' \equiv \sigma, \ (G,\sigma') \xrightarrow{s.p.} (H,\pi).$$

### No-homomorphism Lemma

There are four possible types of closed walks in signed graphs:

- type 00 is a closed walk which is positive and of even length,
- type 01 is a closed walk which is positive and of odd length,
- type 10 is a closed walk which is negative and of even length,
- type 11 is a closed walk which is negative and of odd length.

The length of a shortest nontrivial closed walk in  $(G, \sigma)$  of type ij,  $(ij \in \mathbb{Z}_2^2)$ , is denoted by  $g_{ij}(G, \sigma)$ .

No-homomorphism Lemma [R. Naserasr, É. Sopena, and T. Zaslavsky 2021]

If  $(G, \sigma) \to (H, \pi)$ , then  $g_{ij}(G, \sigma) \ge g_{ij}(H, \pi)$  for  $ij \in \mathbb{Z}_2^2$ .

Homomorphism of signed graphs

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# k-coloring problem vs $C_{-k}$ -coloring problem

 $T_k(G, \sigma)$ : a signed graph obtained from  $(G, \sigma)$  by replacing each edge uv with a signed path of length k with sign  $-\sigma(uv)$ .

Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A graph G is k-colorable if and only if  $T_{k-2}(G, +)$  is  $C_{k-2}(G, +)$ 

In particular, the 2k-coloring problem of graphs is captured by the  $C_{-2k}$ -coloring problem of signed bipartite graphs.

Special case when k = 4

A graph G is 4-colorable if and only if  $T_2(G, +)$  is  $C_{-4}$ -colorable.

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Proof of  $G \to K_4 \Leftrightarrow T_2(G, +) \to C_{-4}$ 



Figure:  $G \rightarrow K_4 \Rightarrow T_2(G, +) \rightarrow C_{-4}$ 

- $\Rightarrow$ : It suffices to show that  $T_2(K_4, +) \rightarrow C_{-4}$ .
- $\Leftarrow$ : Let  $\varphi$  :  $T_2(G, +) \rightarrow C_{-4}$ . This mapping preserves the bipartition.

Homomorphism of signed graphs

# Edge-sign preserving homomorphism to $C_{-4}$

Lemma [C. Charpentier, R. Naserasr, and E. Sopena 2020] Given a signed bipartite graph  $(G, \sigma)$ ,

$$(G,\sigma) \xrightarrow{s.p.} C_{-4} \Leftrightarrow (P_3,\pi) \not\subseteq (G,\sigma).$$



Figure:  $C_{-4}$  and its edge-sign preserving dual

Homomorphism of signed graphs

# NP-completeness of $C_{-4}$ -coloring problem

- In order to map a signed bipartite graph  $(G, \sigma)$  to  $C_{-4}$ , it is necessary and sufficient to find an equivalent signature  $\sigma'$  of  $\sigma$ where no positive edge is incident with a negative edge at each of its end.
- Deciding whether there exists an edge-sign preserving homomorphism to C<sub>-4</sub> is in polynomial time but finding such an equivalent signature is hard.
- The C<sub>-4</sub>-coloring problem is NP-complete. [R. C. Brewster, F. Foucaud, P. Hell and R. Naserasr 2017]

# k-critical and H-critical

- A graph is *k*-critical if it is *k*-chromatic but any proper graph of it is (k 1)-colorable.
- A graph is *H*-critical if it is not *H*-colorable but any proper graph of it is *H*-colorable. [P. A. Catlin 1988]

One of the most popular questions of H-critical graphs on n vertices is to find the lower bound for the number of edges as a function of n.

- Any  $C_3$ -critical graph on *n* vertices has at least  $\frac{5n-2}{3}$  edges; [A. Kostochka and M. Yancey 2014]
- Any  $C_5$ -critical graph on n vertices has at least  $\frac{5n-2}{4}$  edges; [Z. Dvorak and L. Postle 2017]
- Any C<sub>7</sub>-critical graph on n vertices has at least <sup>17n-2</sup>/<sub>15</sub> edges.
   [L. Postle and E. Smith-Roberge 2022]

 $(H, \pi)$ -critical signed graphs

# $(H, \pi)$ -critical signed graph

Definition [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A signed graph  $(G, \sigma)$  is  $(H, \pi)$ -critical if the following hold:

- $g_{ij}(G,\sigma) \ge g_{ij}(H,\pi)$ , for  $ij \in \mathbb{Z}_2^2$ ;
- $(G, \sigma) \not\rightarrow (H, \pi);$
- $(G', \sigma) \rightarrow (H, \pi)$  for any proper subgraph  $(G', \sigma) \subset (G, \sigma)$ .

We observe that:

- A graph G is k-critical  $\Leftrightarrow$  (G, +) is  $(K_{k-1}, +)$ -critical.
- By No-homomorphism Lemma, the first condition eliminates trivial cases.

A signed graph  $(G, \sigma)$  is  $C_{-4}$ -critical if the following hold:

- $(G, \sigma)$  is bipartite and of negative-girth at least 4;
- $(G, \sigma) \not\rightarrow C_{-4};$
- $(G', \sigma) \rightarrow C_{-4}$  for any proper subgraph  $(G', \sigma) \subset (G, \sigma)$ .



Jaeger-Zhang conjecture and its bipartite analog

# Jaeger-Zhang Conjecture

Jaeger-Zhang Conjecture [C.-Q. Zhang 2002]

Every planar graph of odd-girth at least 4k + 1 admits a homomorphism to  $C_{2k+1}$ .

- k = 1: Grötzsch's theorem;
- k = 2: verified for odd-girth 11 [Z. Dvořák and L. Postle 2017; D. Cranston and J. Li 2020];
- k = 3: verified for odd-girth 17 [D. Cranston and J. Li 2020; L. Postle and E. Smith-Roberge 2022];
- *k* ≥ 4:
  - verified for odd-girth 8k 3 [X. Zhu 2001];
  - verified for odd-girth <sup>20k-2</sup>/<sub>3</sub> [O.V. Borodin, S.-J. Kim, A.V. Kostochka and D.B. West 2002];
  - verified for odd-girth 6k + 1 [L. M. Lovász, C. Thomassen, Y. Wu and C. Q. Zhang 2013].

Jaeger-Zhang conjecture and its bipartite analog

### Signed bipartite analog of Jaeger-Zhang Conjecture

Signed bipartite analog of Jaeger-Zhang Conjecture [R. Naserasr, E. Rollová, É. Sopena 2015]

Every signed bipartite planar graph of negative-girth at least f(k) admits a homomorphism to  $C_{-2k}$ .

- k = 2: 8 is the best negative-girth condition [R. Naserasr, L-A. Pham, and Z. Wang 2022];
- k = 3,4: verified for negative-girth 14 and 20 [J. Li, Y. Shi, Z. Wang and C. Wei 2022+];
- *k* ≥ 5:
  - verified for negative-girth 8k 2 [C. Charpentier, R. Naserasr, and E. Sopena 2020];
  - verified for negative-girth 6k 2 [J. Li, R. Naserasr, Z. Wang and X. Zhu 2022+].

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# Density of $C_{-4}$ -critical signed graphs

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]

If  $\hat{G}$  is a  $C_{-4}$ -critical signed graph which is not isomorphic to  $\hat{W}$ , then

$$e(\hat{G}) \geq \frac{4v(G)}{3}.$$



Density of  $C_{-4}$ -critical signed graphs

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# Potential method

The potential of a signed graph  $\hat{G}$  is defined to be

$$p(\hat{G}) = 4v(\hat{G}) - 3e(\hat{G}).$$

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]

If  $\hat{G}$  is  $C_{-4}$ -critical and  $\hat{G} \neq \hat{W}$ , then  $p(\hat{G}) \leq 0$ .

We will estimate the potentials of some subgraphs of the minimum counterexample and list some forbidden configurations in it.

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 $C_{-4}$ -critical signed graphs

### Potential method

Let  $\hat{G} = (G, \sigma)$  be the minimum counterexample with respect to  $v(\hat{G}) + e(\hat{G})$ .

- $\hat{G}$  is a  $C_{-4}$ -critical signed graph which is not isomorphic to  $\hat{W}$  and it satisfies  $p(\hat{G}) \ge 1$ ;
- For any  $C_{-4}$ -critical signed graph  $\hat{H}$  with  $\hat{H} \neq \hat{W}$  satisfying that  $v(\hat{H}) < v(\hat{G}), \ p(\hat{H}) \leq 0.$

Observations:

- $\hat{G}$  is 2-connected.
- There must exist a 2-vertex in  $\hat{G}$ .
- There is no 3-thread in  $\hat{G}$ .



 $P_2(\hat{H})$ : a graph obtained from  $\hat{H}$  by adding a vertex v and joining it with two vertices in  $\hat{H}$  (with any signature).

#### Lemma (Potential of subgraphs)

Let  $\hat{G} = (G, \sigma)$  be a minimum counterexample and let  $\hat{H}$  be a subgraph of  $\hat{G}$ . Then

- $(\hat{H}) \geq 1 \text{ if } \hat{G} = \hat{H};$
- 2  $p(\hat{H}) \ge 3$  if  $\hat{G} = P_2(\hat{H});$
- 3  $p(\hat{H}) \ge 4$  otherwise.

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# Sketch of the proof

- Suppose to the contrary that  $\hat{G}$  contains a proper subgraph  $\hat{H}$  which does not satisfy  $\hat{G} = P_2(\hat{H})$ , and satisfies  $p(\hat{H}) \leq 3$ . We take the maximum such  $\hat{H}$ .
- Note that Ĥ is a proper induced subgraph of order at least 5.
   Let φ be a mapping of Ĥ to C<sub>-4</sub>.



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# Sketch of the proof

- Define  $\hat{G}_1$  to be a signed (multi)graph obtained from  $\hat{G}$  by first identifying vertices of  $\hat{H}$  which are mapped to a same vertex of  $C_{-4}$  under  $\varphi$  and identifying the parallel edges of the same sign. We conclude that  $\hat{G}_1 \nleftrightarrow C_{-4}$ .
- Two possibilities: Either  $\hat{G}_1$  contains a  $C_{-2}$ , or  $\hat{G}_1$  contains a  $C_{-4}$ -critical subgraph  $\hat{G}_2$ .



Conclusion

# Sketch of the proof

- Case 1:  $\hat{G}_1$  contains a  $C_{-2}$ . Then by computing  $p(\hat{H} + P_{-2})$  and using the maximality of  $\hat{H}$ , we obtain the contradiction.
- Case 2:  $\hat{G}_1$  contains a  $C_{-4}$ -critical subgraph  $\hat{G}_2$ . First of all, by the minimality of  $\hat{G}$ , we have  $p(\hat{G}_2) \leq 0$ . Then we define a signed graph  $\hat{G}_3$  (subgraph of  $\hat{G}$ ) by combing  $\hat{G}_2$  and  $\hat{H}$  with some modifications. By the relation of  $\hat{H} \subsetneq \hat{G}_3 \subset \hat{G}$ , it leads to a contradiction.



# Forbidden configurations

#### Lemma

Two 4-cycles in the minimum counterexample  $\hat{G}$  cannot share one edge or two edges.

#### Lemma

Let  $vv_1u$  be a 2-thread in the minimum counterexample  $\hat{G}$ . Suppose that v is a 3-vertex and let  $v_2, v_3$  be the other two neighbors of v. Then the path  $v_2vv_3$  must be contained in a negative 4-cycle in  $\hat{G}$ .



Conclusion

# Forbidden configurations and discharging technique

#### Lemma

A vertex of degree 3 in the minimum counterexample  $\hat{G}$  does not have two neighbors of degree 2.



Forbidden

# Constructions of $C_{-4}$ -critical signed graphs of density $\frac{4}{3}$

 $\tilde{G}$ : a signed graph obtained by replacing each edge of G by  $C_{-2}$ .

Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A graph G is (k + 1)-critical if and only if  $T_{2k-2}(\tilde{G})$  is  $C_{-2k}$ -critical.

As odd cycles are the only 3-critical graphs,  $T_2(\tilde{C}_{2k+1})$ , for each  $k \ge 1$ , is a  $C_{-4}$ -critical signed graph whose density is  $\frac{4}{3} = \frac{8k+4}{6k+3}$ .



Figure:  $T_2(\tilde{C}_3)$ 



Figure:  $T_2(\tilde{C}_5)$ 

Conclusion

### Constructions of sparse $C_{-4}$ -critical signed graphs

Let  $\hat{G}_1$  and  $\hat{G}_2$  be two  $C_{-4}$ -critical signed graphs.

• Assuming that there is a 2-vertex u in  $\hat{G}_1$  with  $u_1, u_2$  being its neighbors and a 2-vertex v in  $\hat{G}_2$  with  $v_1, v_2$  being its neighbors, we build a signed graph  $\mathcal{F}(\hat{G}_1, \hat{G}_2)$  from disjoint union of  $\hat{G}_1$  and  $\hat{G}_2$  by deleting u and v, and adding a positive edge  $u_1v_1$  and a negative edge  $u_2v_2$ .







Figure:  $\hat{W}$ 



Figure:  $\mathcal{F}(\hat{W}, \hat{W})$ 

### Constructions of sparse $C_{-4}$ -critical signed graphs

#### Analog of Hajós construction

Assuming that there is a positive edge x<sub>1</sub>y<sub>1</sub> in Ĝ<sub>1</sub> and a negative edge x<sub>2</sub>y<sub>2</sub> in Ĝ<sub>2</sub>, we build a signed graph H(Ĝ<sub>1</sub>, Ĝ<sub>2</sub>) from disjoint union of Ĝ<sub>1</sub> and Ĝ<sub>2</sub> by deleting x<sub>1</sub>y<sub>1</sub>, x<sub>2</sub>y<sub>2</sub> and identifying x<sub>1</sub> with x<sub>2</sub> and y<sub>1</sub> with y<sub>2</sub>.







Figure: **Г** 

Figure: **F** 

Figure:  $\mathcal{H}(\Gamma, \Gamma)$ 

# Mapping signed bipartite planar graphs to $C_{-4}$

A signed graph  $(G, \sigma)$  is 2*k*-colorable if there exists a mapping c:  $V(G) \rightarrow \{\pm 1, ..., \pm k\}$  such that for each edge uv of  $(G, \sigma)$ ,  $c(u) \neq \sigma(uv)c(v)$ .

It has been conjectured in [E. Máčajová, A. Raspaud, M. Škoviera 2016] that every signed planar simple graph is 4-colorable.

#### Theorem [F. Kardoš and J. Narboni 2021]

There exists a signed planar simple graph which is not 4-colorable.

Application to the planarity

# Mapping signed bipartite planar graphs to $C_{-4}$

Theorem [F. Kardoš and J. Narboni 2021]

There exists a signed planar simple graph which is not 4-colorable.

Lemma [R. Naserasr, L-A. Pham, and Z. Wang 2022]

A signed graph  $(G, \sigma)$  is 2*k*-colorable if and only if  $T_{2k-2}(G, \sigma)$  is  $C_{-2k}$ -colorable.

When k = 2, there exists a signed graph  $T_2(G, \sigma)$  which does not admit a homomorphism to  $C_{-4}$ .

Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]

There exists a bipartite planar graph G of girth 6 with a signature  $\sigma$  such that  $(G, \sigma) \not\rightarrow C_{-4}$ .

Application to the planarity

# Mapping signed bipartite planar graphs to $C_{-4}$

- By Folding Lemma, starting from a signed bipartite planar graph whose shortest negative cycles are of length at least 8, we get a homomorphic image  $\hat{G}$  with a planar embedding where all faces are negative 8-cycles.
- Applying Euler's Formula on this graph, we have  $|E(G)| \leq \frac{3(|V(G)|-2)}{4}$ .

#### Theorem [R. Naserasr, L-A. Pham, and Z. Wang 2022]

Every signed bipartite planar graph of negative-girth at least 8 admits a homomorphism to  $C_{-4}$ . Moreover, the girth condition is the best possible.

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## Relation with circular coloring of signed graphs

 Recently we have defined the notion of circular chromatic numbers of signed graphs. We prove that for any signed bipartite graph (G, σ),

$$X_c(G,\sigma) \leq rac{8}{3} \Leftrightarrow (G,\sigma) 
ightarrow C_{-4}.$$

• So our work can be restated as: Any  $\frac{8}{3}$ -critical signed bipartite graph on *n* vertices has at least  $\frac{4n}{3}$  edges except for  $\hat{W}$ .



• We look for some strong sufficient conditions for signed bipartite planar graphs mapping to  $C_{-4}$ .

#### Conjecture

Let G be a bipartite planar graph of girth at least 6. Let  $\sigma$  be a signature on G such that in  $(G, \sigma)$  all 6-cycles are of a same sign. Then  $(G, \sigma) \rightarrow C_{-4}$ .

• If it is true, it implies the 4-color theorem by *T*<sub>2</sub>-construction on a planar simple graph.

### Discussion

• We determined that the best girth condition for mapping signed bipartite planar graphs to  $C_{-4}$  is 8 rather than 6.

#### Question

What is the best negative-girth condition for signed bipartite planar graphs mapping to  $C_{-2k}$ ?

# The end. Thank you!